



# Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition

By Matthew Larrabee



## Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition

By Matthew Larrabee

In addition to assessing the risks facing the mutual fund industry, the ***Mutual Fund Litigation and Insurance Practice Guide*** informs industry participants about key insurance products and approaches that help mitigate those risks.

This publication alerts mutual fund industry participants to the risks facing the industry as a result of increased regulatory enforcement and civil litigation and informs them about key insurance products and approaches that help mitigate those risks.

The ***Mutual Fund Litigation and Insurance Practice Guide*** examines the legal risks faced by the mutual fund industry, the legal bases for potential liability of mutual fund industry participants, the key precedents from important regulatory enforcement actions and civil litigation against the industry, and the critical insurance products and approaches that are available to mitigate these risks. The key topics covered include the following:

- Background of current enforcement and litigation environment.
- SEC enforcement actions against the mutual fund industry.
- Potential areas of future SEC enforcement focus.
- State enforcement actions against the mutual fund industry.
- Mutual fund private litigation claims, defenses and risks under the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Company Act of 1940, and the Investment Advisers Act of 1940.
- Joint and several liability under federal securities laws.
- Contribution and indemnification under federal securities laws.
- Apportionment of exposure in mutual fund litigation.
- Constructing insurance towers for mutual funds.
- Key terms in directors' and officers' liability insurance policies and professional liability insurance policies.
- Policy exclusions in directors' and officers' liability and professional insurance policies.
- Policy conditions in directors' and officers' and professional liability policies.
- Fidelity and blanket bond requirements for investment companies.
- Types of fidelity coverage.

- Key terms in fidelity and blanket bonds.
- Termination and cancellation of insurance coverage.

Written by acknowledged experts in the mutual funds and insurance fields , this is the only comprehensive source that provides critical guidance for evaluating the risks facing mutual fund industry participants and negotiating for, and obtaining, insurance

 [Download Mutual Fund Litigation and Insurance Practice Guid ...pdf](#)

 [Read Online Mutual Fund Litigation and Insurance Practice Gu ...pdf](#)

# **Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition**

*By Matthew Larrabee*

## **Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition** By Matthew Larrabee

In addition to assessing the risks facing the mutual fund industry, the ***Mutual Fund Litigation and Insurance Practice Guide*** informs industry participants about key insurance products and approaches that help mitigate those risks.

This publication alerts mutual fund industry participants to the risks facing the industry as a result of increased regulatory enforcement and civil litigation and informs them about key insurance products and approaches that help mitigate those risks.

The ***Mutual Fund Litigation and Insurance Practice Guide*** examines the legal risks faced by the mutual fund industry, the legal bases for potential liability of mutual fund industry participants, the key precedents from important regulatory enforcement actions and civil litigation against the industry, and the critical insurance products and approaches that are available to mitigate these risks. The key topics covered include the following:

- Background of current enforcement and litigation environment.
- SEC enforcement actions against the mutual fund industry.
- Potential areas of future SEC enforcement focus.
- State enforcement actions against the mutual fund industry.
- Mutual fund private litigation claims, defenses and risks under the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Company Act of 1940, and the Investment Advisers Act of 1940.
- Joint and several liability under federal securities laws.
- Contribution and indemnification under federal securities laws.
- Apportionment of exposure in mutual fund litigation.
- Constructing insurance towers for mutual funds.
- Key terms in directors' and officers' liability insurance policies and professional liability insurance policies.
- Policy exclusions in directors' and officers' liability and professional insurance policies.
- Policy conditions in directors' and officers' and professional liability policies.
- Fidelity and blanket bond requirements for investment companies.
- Types of fidelity coverage.
- Key terms in fidelity and blanket bonds.
- Termination and cancellation of insurance coverage.

Written by acknowledged experts in the mutual funds and insurance fields, this is the only comprehensive source that provides critical guidance for evaluating the risks facing mutual fund industry participants and negotiating for, and obtaining, insurance

**Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee**  
**Bibliography**

- Sales Rank: #7162937 in Books
- Published on: 2015-09-01
- Binding: Perfect Paperback
- 294 pages



[Download Mutual Fund Litigation and Insurance Practice Guid ...pdf](#)



[Read Online Mutual Fund Litigation and Insurance Practice Gu ...pdf](#)

**Download and Read Free Online Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee**

---

**Editorial Review**

**Users Review**

**From reader reviews:**

**Anthony Hubbard:**

The ability that you get from Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition could be the more deep you searching the information that hide inside the words the more you get thinking about reading it. It doesn't mean that this book is hard to be aware of but Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition giving you excitement feeling of reading. The article writer conveys their point in particular way that can be understood by means of anyone who read this because the author of this guide is well-known enough. This specific book also makes your current vocabulary increase well. It is therefore easy to understand then can go with you, both in printed or e-book style are available. We recommend you for having this kind of Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition instantly.

**Randall Blake:**

Information is provisions for people to get better life, information nowadays can get by anyone from everywhere. The information can be a expertise or any news even a huge concern. What people must be consider if those information which is inside the former life are difficult to be find than now's taking seriously which one works to believe or which one the resource are convinced. If you have the unstable resource then you have it as your main information it will have huge disadvantage for you. All those possibilities will not happen with you if you take Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition as the daily resource information.

**Bettye Heinrich:**

This Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition is great guide for you because the content which can be full of information for you who also always deal with world and have to make decision every minute. This book reveal it facts accurately using great arrange word or we can say no rambling sentences inside it. So if you are read the item hurriedly you can have whole data in it. Doesn't mean it only provides you with straight forward sentences but challenging core information with beautiful delivering sentences. Having Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition in your hand like obtaining the world in your arm, data in it is not ridiculous one. We can say that no publication that offer you world inside ten or fifteen second right but this guide already do that. So , this is certainly good reading book. Hello Mr. and Mrs. hectic do you still doubt in which?

**Paula Royce:**

Do you like reading a e-book? Confuse to looking for your preferred book? Or your book has been rare? Why so many question for the book? But any people feel that they enjoy regarding reading. Some people likes reading, not only science book but in addition novel and Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition or maybe others sources were given information for you. After you know how the truly great a book, you feel would like to read more and more. Science reserve was created for teacher or perhaps students especially. Those publications are helping them to add their knowledge. In other case, beside science publication, any other book likes Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition to make your spare time considerably more colorful. Many types of book like this.

**Download and Read Online Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee  
#AVOCISZ578H**

# **Read Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee for online ebook**

Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee Free PDF d0wnl0ad, audio books, books to read, good books to read, cheap books, good books, online books, books online, book reviews epub, read books online, books to read online, online library, greatbooks to read, PDF best books to read, top books to read Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee books to read online.

## **Online Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee ebook PDF download**

**Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee Doc**

**Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee MobiPocket**

**Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee EPub**

**AVOCISZ578H: Mutual Fund Litigation and Insurance Practice Guide, 2015-2016 Edition By Matthew Larrabee**